SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

<u>CARVER KRISTY</u> (Mont			2. Date of Event Requiring Staten Month/Day/Year 17/31/2019	nent	3. Issuer Name and Ticker or Trading Symbol <u>LSB INDUSTRIES INC</u> [LXU]						
(Last) 3503 NW 63R	st) (First) (Middle) 03 NW 63RD STREET, SUITE 500				4. Relationship of Reporting Perso (Check all applicable) Director		10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
(Street) OKLAHOMA CITY		73116			Х	Officer (give title below) SVP/Treasum	Other (spe below) <mark>er</mark>	cify	 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 		
(City) (State) (Zip)											
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)						nt of Securities ally Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock						44,747 ⁽¹⁾	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
Expi			Expiration Da	2. Date Exercisable and Expiration Date Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit		4. Conve or Exe	rcise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
Evolution of Pr			Date Exercisable	Expiration Date	ו Title		Amount or Number of Shares	Price o Deriva Securi	tive	Direct (D) or Indirect (I) (Instr. 5)	

1. This amount includes: (a) 17,091 shares that were acquired pursuant to Restricted Stock Agreement dated March 4, 2016, pursuant to LSB Industries, Inc. 2008 Incentive Stock Plan, all shares of which have vested; (b) 12,552 shares that were granted pursuant to Restricted Stock Agreement dated December 14, 2017, pursuant to LSB Industries, Inc. 2016 Long Term Incentive Plan (the "2016 Plan"), which will vest on the third anniversary of the grant date; (c) 7,552 shares that were acquired pursuant to Restricted Stock Agreement dated January 3, 2019, pursuant to the 2016 Plan, one-third of which will vest on the first, second and third, anniversaries of the grant date; and (d) 7,552 shares that were acquired pursuant to Restricted Stock Agreement dated December 30, 2018, pursuant to the 2016 Plan, which will vest on the third anniversary of the grant date subject to the achievement of certain performance metrics.

/s/ Kristy Carver

08/01/2019

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.